

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		<b>ATTORNEY'S DOCKET NUMBER</b> 16592-13
<b>INTERNATIONAL APPLICATION NO.</b> PCT/US2004/040074		<b>INTERNATIONAL FILING DATE</b> 1 December 2004
<b>TITLE OF INVENTION</b> SYSTEM AND METHOD FOR FORMING MULTI-COMPONENT FILMS		<b>PRIORITY DATE CLAIMED</b> 1 December 2003
<b>APPLICANT(S) FOR DO/EO/US</b> Gary S. TOMPA et al.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) N/A		INTERNATIONAL APPLICATION NO. PCT/US2004/040074		ATTORNEY'S DOCKET NUMBER 16592-13	
20. Other items or information:  Certificate of Express Mail (1 page)  Return Receipt Postcard					
The following fees have been submitted				CALCULATIONS PTO USE ONLY	
21. <input checked="" type="checkbox"/> Basic national fee (37 CFR 1.492(a)) .....\$300				\$ 300.00	
22. <input checked="" type="checkbox"/> Examination fee (37 CFR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33 (1)-(4) .....\$0				\$ 200.00	
All other situations .....\$200					
23. <input checked="" type="checkbox"/> Search fee (37 CFR 1.492(b))					
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority .....\$100				\$ 100.00	
previously communicated to the US by the IB .....\$400					
All other situations .....\$500					
TOTAL OF 21, 22 AND 23 =				600.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100=	/50=		X \$250	\$	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	79 - 20=	59	59 x \$ 50	\$ 2950.00	
Independent claims	4- 3=	1	1 x \$200	\$ 200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$ 360.00	
TOTAL OF ABOVE CALCULATIONS =				\$ 4110.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$ 2055.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$ 2055.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ 2055.00	
				Amount to be refunded:	
				Amount to be charged	\$

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- 104580771  
AP2003070000 23 MAY 2006
- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fee is enclosed.
- b. ☒ Please charge my Deposit Account No. 501358 in the amount of \$ 2055.00 to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit  
Account no. 501358. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not  
be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed  
and granted to restore the International Application to pending status.

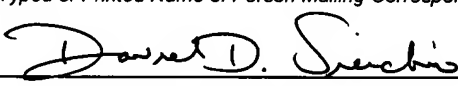
SEND ALL CORRESPONDENCE TO:

Docket Administrator  
Lowenstein Sandler P.C.  
65 Livingston Avenue  
Roseland, New Jersey 07068

*Daniel D. Sierchio*  
SIGNATURE

Daniel D. Sierchio  
NAME

53,591  
REGISTRATION NUMBER

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>			Docket No. 16592-13	
Applicant(s): Gary S. Tompa et al				
Application No. <b>40/500771</b>	Filing Date May 26, 2006	Examiner TBA	Customer No. 28221	TC/Art Unit TBA
<p>Invention:</p> <p>SYSTEM AND METHOD FOR FORMING MULTI-COMPONENT FILMS</p>				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; padding: 10px; margin: 10px 0;"> <ol style="list-style-type: none"> <li>1) Certificate of Express Mail (1 sheet)</li> <li>2) Transmittal Letter to the United States Receiving Office (PTO 1390) (3 sheets in duplicate)</li> <li>3) Unexecuted Declaration and Power of Attorney (4 sheets)</li> <li>4) Information Disclosure Statement and PTO/SB/08 Form (3 sheets)</li> <li>5) Copy of International Search Report (1 sheet)</li> <li>6) Postcard</li> </ol> </div> <p style="text-align: center; margin-top: 10px;"><i>(Identify type of correspondence)</i></p>				
<p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p>				
<div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="width: 40%;"> <p style="text-align: center;">May 26, 2006</p> <hr style="width: 80%; margin: 0 auto;"/> <p style="text-align: center;"><i>(Date)</i></p> </div> <div style="width: 55%; text-align: right;"> <p>Daniel D. Sierchio (Reg. No. 53,591)</p> <hr style="width: 80%; margin: 0 auto;"/> <p><i>(Typed or Printed Name of Person Mailing Correspondence)</i></p> <div style="margin-top: 10px;">  </div> <hr style="width: 80%; margin: 0 auto;"/> <p><i>(Signature of Person Mailing Correspondence)</i></p> <div style="margin-top: 20px;"> <p>EQ 009601268 US</p> <hr style="width: 80%; margin: 0 auto;"/> <p><i>("Express Mail" Mailing Label Number)</i></p> </div> </div> </div>				
<p>Note: Each paper must have its own certificate of mailing.</p>				
<p>DOCKET ADMINISTRATOR LOWENSTEIN SANDLER PC 65 Livingston Avenue Roseland, NJ 07068</p>				